**Checklist for Web-Based Publication of Doctoral Dissertation**

Under the Degrees Regulations of Japan as revised in March 2013, all Doctorate holders are obligated to publicize their doctoral dissertation in its entirety through the Internet within one year after the conferral date. (At the University of Tokyo, such theses are publicized through the UTokyo Repository.)

However, under certain “unavoidable circumstances”, for example, where the dissertation contains any content incapable of being publicized through the Internet, or where the publication of the dissertation is likely to be disadvantageous for its author, the Doctorate holder is allowed to publicize a redacted or abridged version or a bibliographic information of the dissertation, instead of a full-text publication.

The cases described in items 1 to 10 listed below are “unavoidable circumstances” recognized by the University of Tokyo. Check whether your doctoral dissertation to be submitted comes under any of those cases. If you answer “Yes” to any item, you must submit an “Application for Publication of Dissertation under Special Circumstances” accompanied by a document explaining in detail the circumstances to the dean of the graduate school to which you belong.

Answer either “Yes” or “No” for each statement by entering a checkmark (√).

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| **“Unavoidable circumstances” preventing publication of the full dissertation over the Internet** | | **Yes** | **No** |
| 1. | The dissertation contains three-dimensional shapes or other contents incapable of being publicized through the Internet. | **🞏** | **🞏** |
| 2. | The dissertation contains a chart or other copyrighted work created by another individual who has not yet given consent to the publication of the dissertation over the Internet. | **🞏** | **🞏** |
| 3. | The whole or part of the dissertation is a work of joint authorship, which publication has not yet been approved by all the relevant co-authors. | **🞏** | **🞏** |
| 4. | The dissertation contains certain data or information that should not be made public for the purpose of protecting the personal information of an examinee or observation subject. | **🞏** | **🞏** |
| 5. | The whole or part of the dissertation has already been published in a scholarly journal, etc. and its publication through the Internet is not authorized under an agreement with the publisher. | **🞏** | **🞏** |
| 6. | The whole or part of the dissertation has already been published in the form of a book, etc., and its publication through the Internet is not authorized under an agreement with the publisher. | **🞏** | **🞏** |
| 7. | A certain book publishing agreement has already been concluded for the whole or part of the dissertation, under which its publication through the Internet is not authorized. | **🞏** | **🞏** |
| 8. | The whole or part of the dissertation is scheduled to be published in the form of a book or as part of a journal, etc. | **🞏** | **🞏** |
|  | \* Exemption from the duty of full-text publication due to a circumstance described in this item 8 is valid for a period not exceeding the length of time specified by the graduate school (subject to a maximum of five years from the date of the conferral of a Doctorate). After this period has elapsed, the full text of this dissertation will automatically be publicized unless there are any other unavoidable circumstances. |  |  |
| 9. | The dissertation must be kept undisclosed for a certain period for the purpose of filing a patent application. | **🞏** | **🞏** |
| 10. | There are other particular reasons precluding publication of the dissertation through the Internet. | **🞏** | **🞏** |

I have checked my dissertation based on the above checklist.

Applicant’s Signature: